



# Lecture 8, A/D D/A 1

Data converters 1



# What did we do last time?

## Continuous-time filters

Wrap-up and some more conclusions

## Discrete-time filters

Simulation of the continuous-time filters

Discrete-time accumulators

LDI transform

Bilinear transform

# What will we do today?

## Data converters

Fundamentals

## DACs

Overview

## ADCs

Overview

## Oversampling converters

Overview

# Data converters fundamentals

## DAC

Represents a digital signal with an analog signal  
To control something  
To transmit something (a modulated signal)

## ADC

Represents an analog signal with a digital signal  
To measure something  
To receive something (a modulated signal)

## And there are others:

Time-to-digital converters  
Frequency-to-digital converters  
etc.

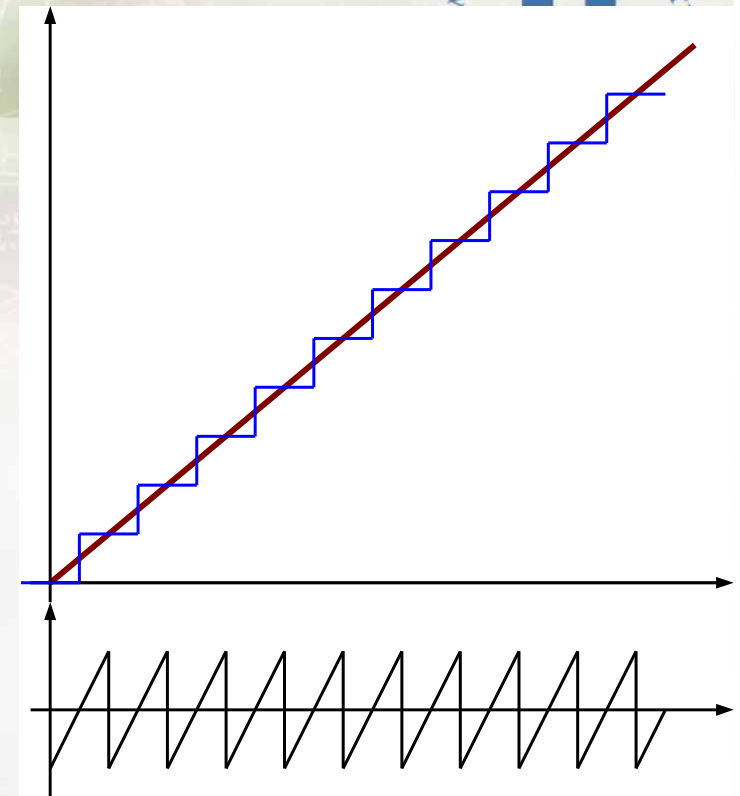
# Quantization process

If we ramp the input, the error is the deviation from a straight line

How is it defined for a DAC?

With the range 0 to  $V_{ref}$ , the stepsize is

$$\Delta = \frac{V_{ref}}{2^N}$$



The quantization error is bounded (within range)

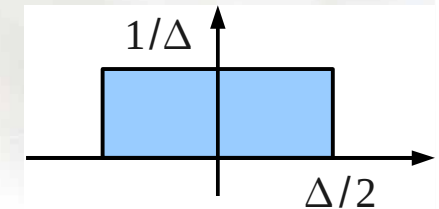
$$Q \in \left\{ -\frac{\Delta}{2}, \frac{\Delta}{2} \right\}$$

# Quantization process, cont'd

**Assume signal-independent (not true for a low number of bits)**

Quantization assumed to be a stochastic process and white noise, i.e., uniformly distributed in

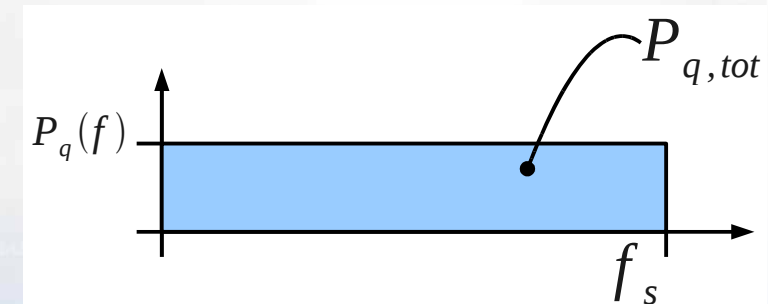
$$\left( -\frac{\Delta}{2}, \frac{\Delta}{2} \right)$$



## Noise power spectral density

White noise has constant spectral density

$$P_q(f) = \frac{\Delta^2}{12 \cdot f_s}$$



# Quantization process, cont'd

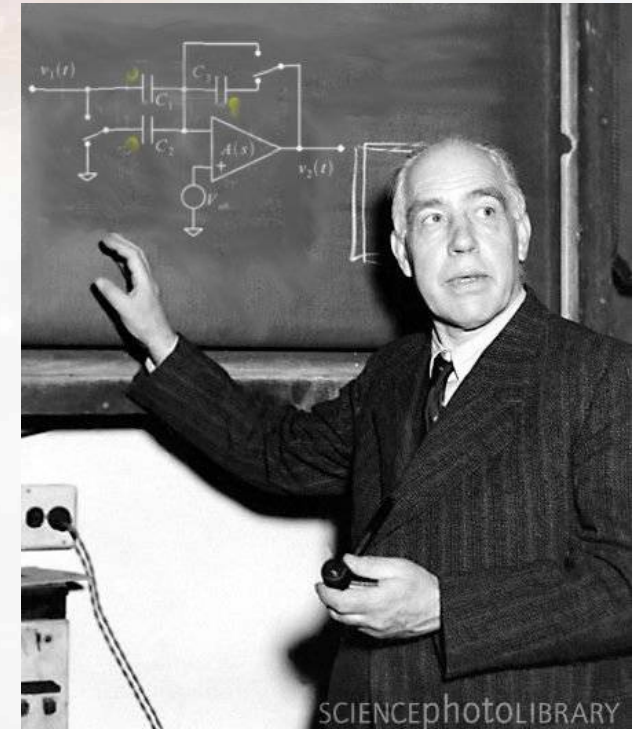
## Sigma of the probabilistic noise

## Noise model

Remember the superfunction

## Power spectral density

A certain bandwidth contains a certain amount of noise



# Quantization process, cont'd

Peak power assuming centered around the nominal DC level

$$P_{pk} = \left( \frac{V_{ref}}{2} \right)^2$$

Maximum, average sinusoidal power

$$P_{avg} = \frac{1}{2} \cdot \left( \frac{V_{ref}}{2} \right)^2 = \frac{1}{8} \cdot V_{ref}^2 = \frac{P_{pk}}{2}$$

Peak-to-average ratio (PAR) for a sinusoid (crest factor)

$$PAR = \frac{P_{pk}}{P_{avg}} = 2 \quad (1.76 \text{ dB})$$



# Quantization process, cont'd

## Quantization noise power, signal-to-quantization-noise ratio

$$P_{q,tot} = \sigma^2 = \frac{\Delta^2}{12} \quad \text{and} \quad \text{SQNR} = \frac{P_{avg}}{P_{q,tot}} = \frac{P_{pk}}{P_{q,tot} \cdot \text{PAR}}$$

$$\text{SQNR} = \frac{\frac{1}{4} \cdot V_{ref}^2}{\frac{1}{12} \cdot \left(\frac{V_{ref}}{2^N}\right)^2 \cdot \text{PAR}} = \frac{3 \cdot 2^{2N}}{\text{PAR}}$$

## Logarithmic scale

$$\text{SQNR} \approx 6.02 \cdot N + 4.77 - \text{PAR} = 6.02 \cdot N + 1.76 \quad \text{for our sinusoid.}$$

# D/A conversion as such

Amplitude given by scaled and summed digital bits

$$A_{out}(nT) = \sum_{k=0}^{N-1} w_k(nT) \cdot 2^k$$

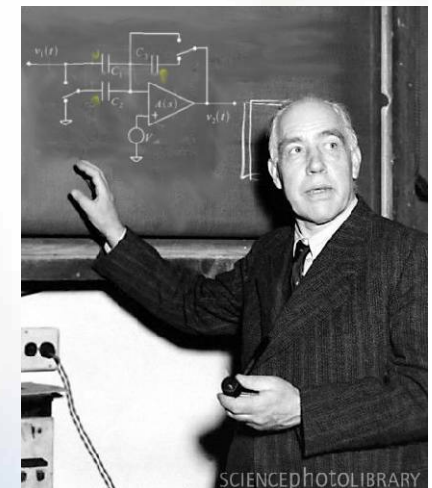
The scaling does not necessarily have to be binary:

Binary

Thermometer

Linear

Segmented



## D/A conversion, cont'd

The output is a pulse-amplitude modulated signal (PAM)

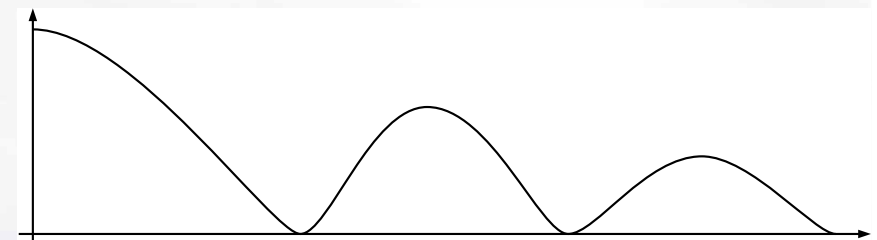
$$A_{out}(t) = \sum a(nT) \cdot p(t - nT)$$

such that the spectrum is

$$A_{OUT}(j\omega) = A(e^{j\omega T}) \cdot P(j\omega)$$

Commonly, zero-order hold pulses are used as PAM (ideal reconstruction impossible)

Spectrum will be sinc weighted.



A reconstruction filter is needed to compensate!

# D/A converter architectures

## Current-steering

Summed weighted current sources.

## Switched-capacitor (MDAC)

An SC gain circuit with weighted capacitors

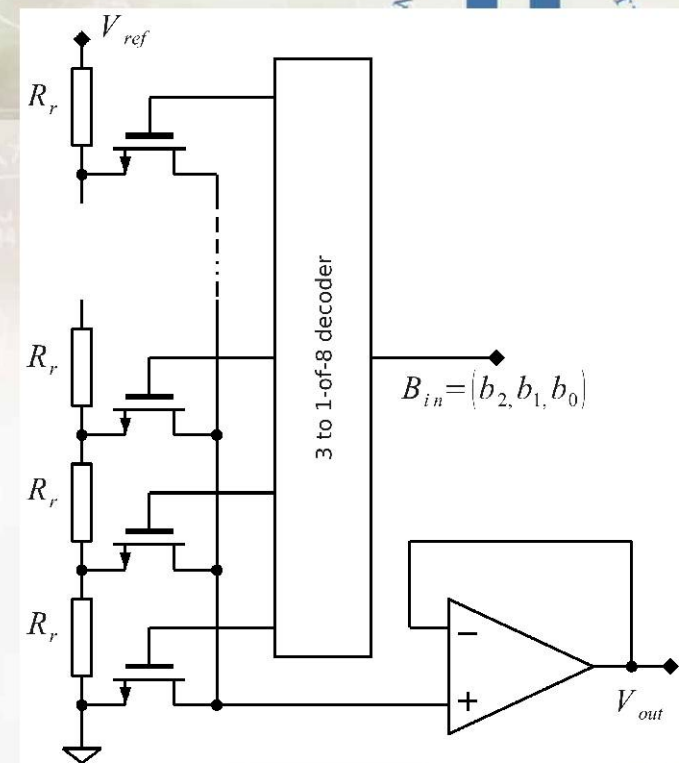
## Resistor-string

Selects taps out of many and buffers

## R-2R

Utilizes current dividers

## And many more



# A/D conversion, sampling

A/D conversion is a sampling process

$$a(nT) = a(t)|_{t=nT}$$

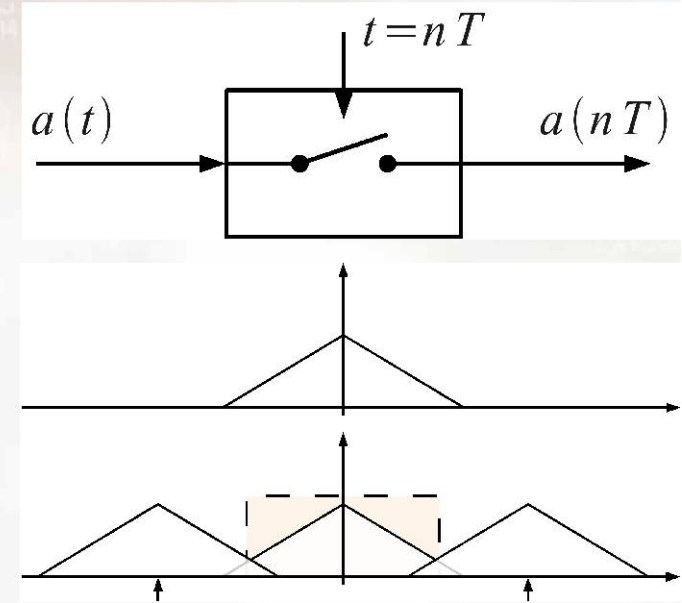
Poisson's summation formula

$$A(e^{j\omega T}) = \sum A(j(\omega - 2\pi k) \cdot T)$$

**Spectrum might repeat and overlap (folding)!**

meet the sampling theorem (theoretically minimizes error)

use an anti-aliasing filter (practically minimizes error)



# A/D conversion, sampling, cont'd

## Tough filter requirements!

Practically, oversampling is required.

This will "separate" the repetitive spectra from each other and some filtering effort can also be moved to digital domain.

# A/D conversion, mapping

## Analog input is mapped to a digital code

A **range** of the signal input mapped to a unique (?) digital code

$$D(nT) = \sum_{k=0}^{N-1} w_k(nT) \cdot 2^k$$

## Other formats

Thermometer, Gray, walking-one

# A/D converter architectures

## Flash

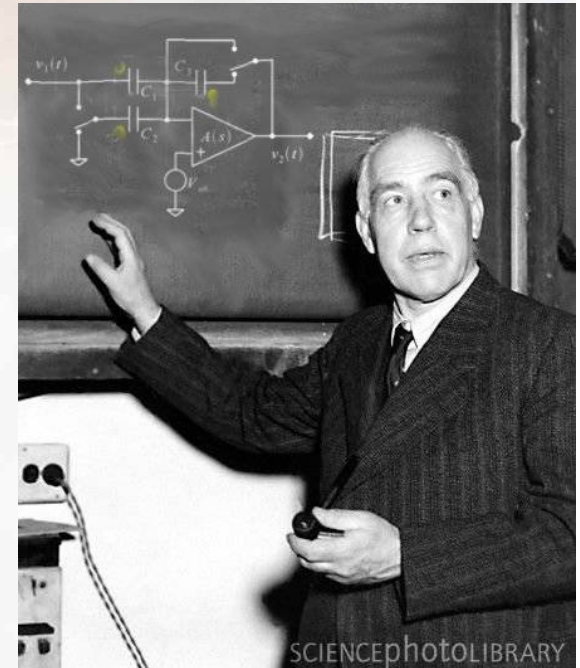
A set of comparators measures the input and compares it with a set of references.

## Sub-ranging

Use a coarse stage to quantize the input. Subtract the input from the reconstructed, quantized result, amplify it and quantize again.

## Pipelined

A set of sub-ranging ADCs





# A/D converter architectures, cont'd

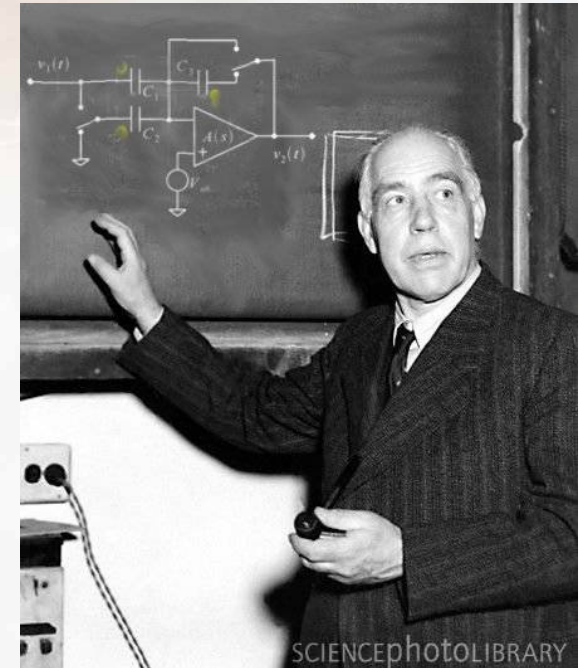
## Successive approximation

One sub-ranging ADCs looping in time rather than a straight pipeline.

## And plenty of others

Slope, dual-slope, folding, etc.

Oversampling ADCs later today



# Data converter errors, DNL

**Differential nonlinearity (DNL):**  
**Deviations from the desired steps**

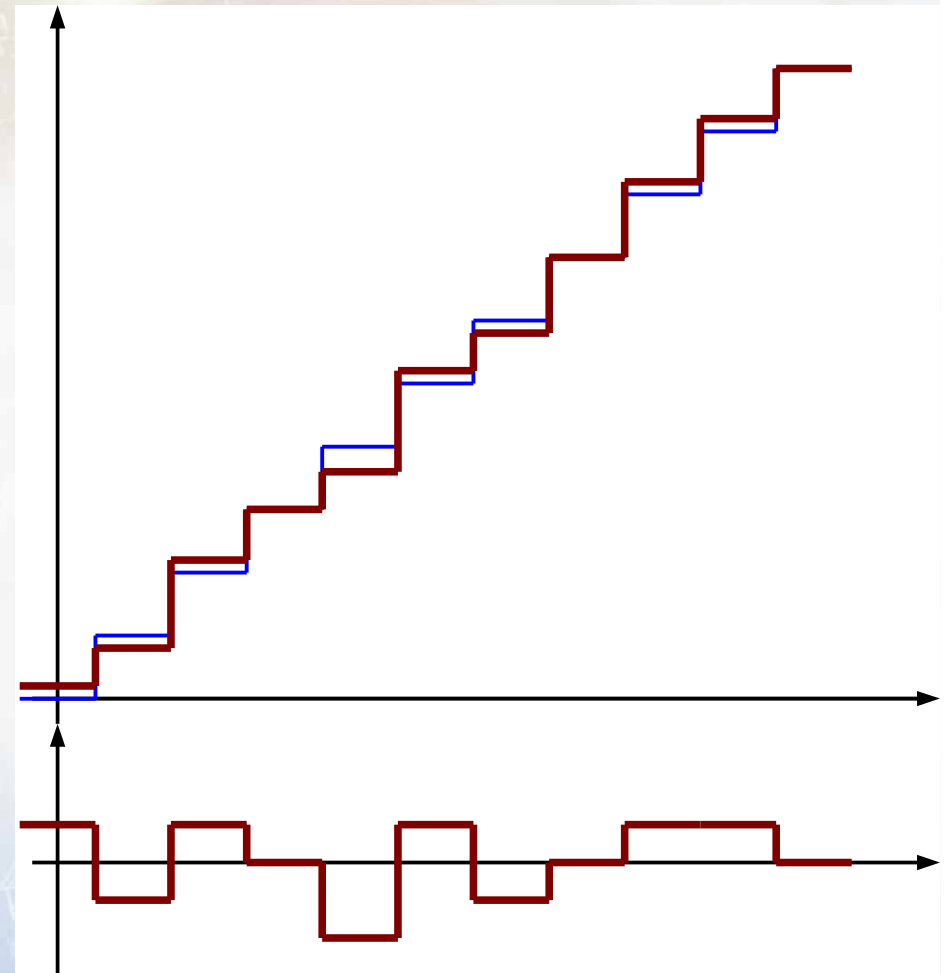
$$\text{DNL}(n) = C_n - C_{n-1} - \Delta$$

$$\text{DNL}(n) = \frac{C_n - C_{n-1}}{\Delta} - 1 \text{ [LSB]}$$

**For full accuracy**

$$|\text{DNL}(n)| < 0.5 \text{ LSB } \forall n$$

**Often, the gain and offset errors are eliminated from the expression.**



# Data converter errors, INL

**Integral nonlinearity is the deviation from the desired "line"**

$$\text{INL}(n) = C_n - n \cdot \Delta$$

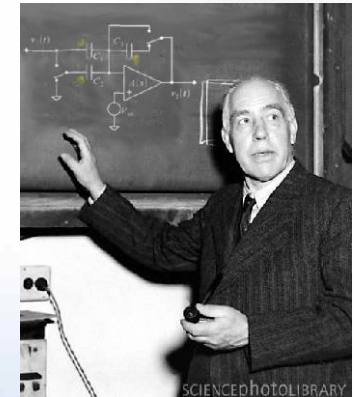
$$\text{INL}(n) = \frac{C_n}{\Delta} - 1 \quad [\text{LSB}]$$

**For full accuracy**

$$|\text{INL}(n)| < 1 \text{ LSB} \quad \forall n$$

**One can also show that the INL is the sum of the DNL**

$$\text{INL}(n) = \sum_{k=0}^n \text{DNL}(k)$$



# Typical error measures

## Static

INL, DNL, gain, offset

## Dynamic (frequency and signal dependent)

Spurious-free dynamic range, SFDR

Signal-to-noise-and-distortion ratio, SNDR

Intermodulation distortion, IMD

Resolution bandwidth, RBW

Effective number of bits, ENOB

Glitches, voltage/current spikes due to timing mismatch

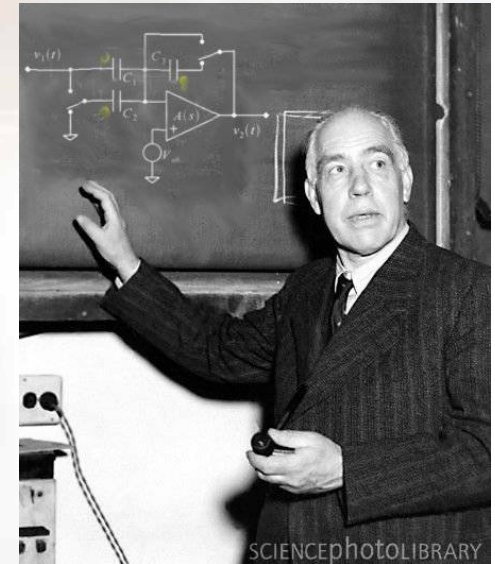
# Typical causes of static errors

## Mismatch in reference levels

The effective resistor sizes or currents might vary due to mismatch

## Offset in comparators

Any continuous-time amplifier/comparator has a significant offset



## Nonlinear effects due to unmatched biasing schemes

A power rail will introduce a gradient which will give a nonlinear transfer

# Some ways to circumvent the errors

## Coding schemes in DACs

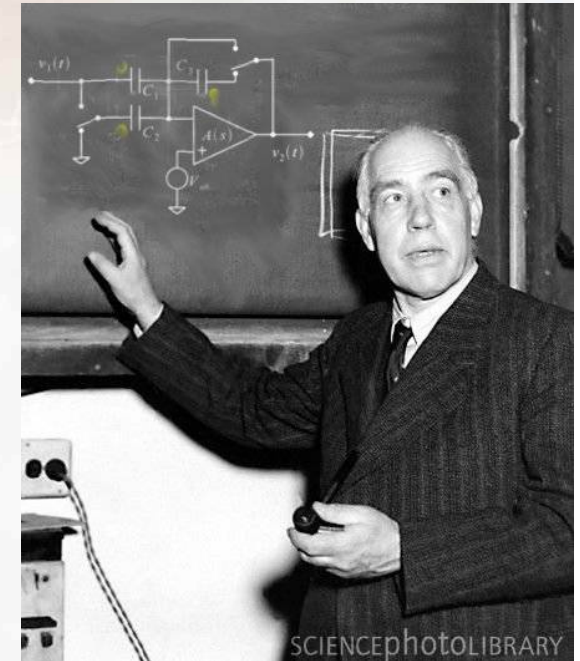
Thermometer vs binary

Effects with respect to mismatch

A first glance at a scrambling technique

## Digital error correction in pipelined ADCs

Revisited another lecture



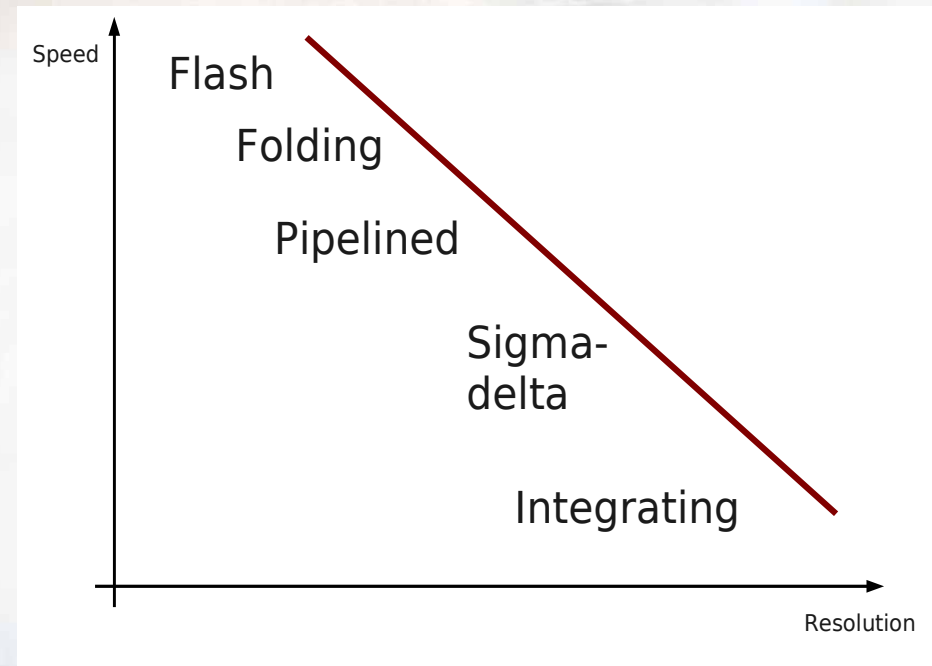
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# Converter trade-offs

## Conversion speed vs resolution (accuracy)

### Figure-of-merit, FOM

$$\text{FOM} = \frac{4kT \cdot f_{bw} \cdot \text{DR}}{P}$$



High-speed converters consumes a lot of power

High-resolution converters consumes large area

# Attacking the filtering problem

**Ideal reconstruction and ideal sampling requires ideal filters**

**Increase your frequency range**

DAC: Interpolation and upsampling

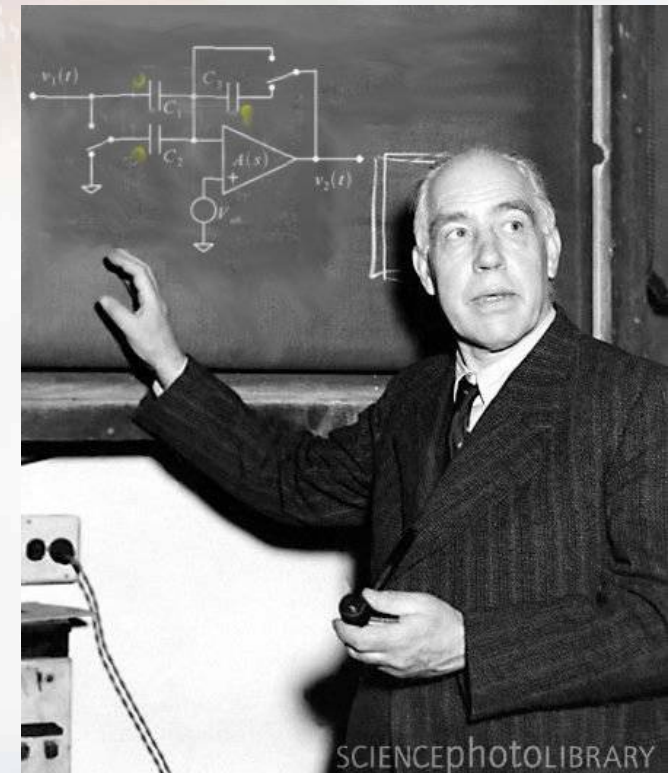
ADC: Decimation and downsampling

**Drawbacks**

Higher power consumption

More difficult to design (well, ...)

**Notice that a DAC can never increase the number of bits!**





# Oversampling converters

Noise power over the entire Nyquist range:

$$\text{SQNR} = 6.02 \cdot N + 1.76 \text{ [dB]}$$

With oversampling (anti-aliasing/reconstruction filter already there)

$$\text{SQNR} = 6.02 \cdot N + 1.76 + 10 \cdot \log_{10} \frac{f_s}{2 \cdot f_{bw}} \text{ [dB]}$$

$$\text{OSR} = \frac{f_s}{2 \cdot f_{bw}}$$

"For each doubling of the sample frequency, we gain 3 dB"

# Oversampling converters

Assume we take a lower order converter to start with

$$\text{ENOB} = \frac{\text{SQNR} - 1.76}{6.02} = N + \frac{10 \cdot \log_{10} \text{OSR}}{6.02}$$

**16-bits: Use 12-bit converter, oversample 256 times**

For some applications not an impossible scenario

**16-bit: Use 1-bit converter, oversample 1073741824 times**

1 Hz would require 1 GHz of sampling frequency ...

**... there are more effective ways ...**

# Oversampling converters, cont'd

Since we reduce number of bits, spice it up a bit and "re-increase" complexity:

Create a converter that can also spectrally shape the new added noise

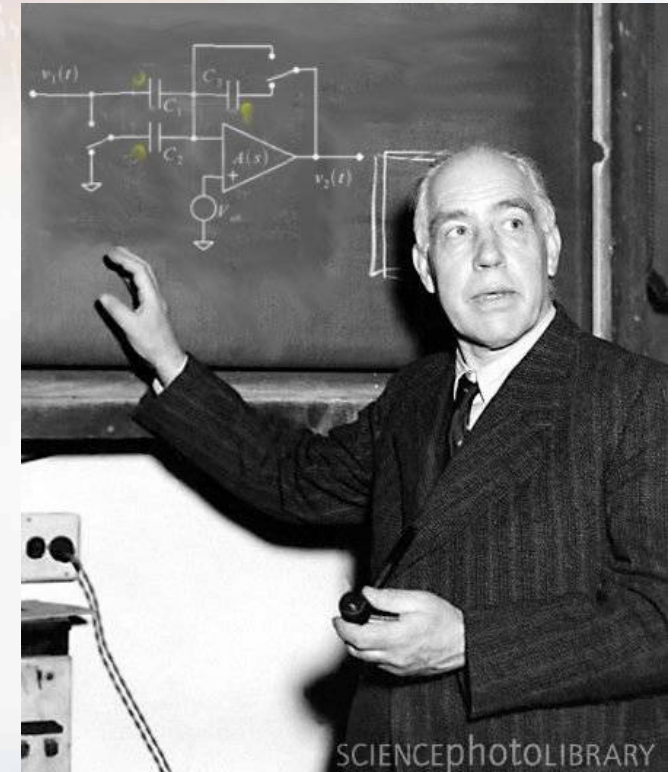
## Sigma-delta modulation

HP/LP/BP-filters the added noise

Allpass filters the signal

Very much a filtering problem, but with nonlinear elements

Regulation loop!



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# Sigma-delta converters, cont'd

$$Y = Q + A \cdot \underbrace{(X - B \cdot Y)}_{\epsilon} \Rightarrow Y = \frac{Q + A \cdot X}{1 + A \cdot B}$$

## Noise and signal transfer functions, NTF/STF

$$\text{NTF}(z) = \frac{1}{1 + A \cdot B} \quad \text{and} \quad \text{STF}(z) = \frac{A}{1 + A \cdot B}$$

If  $A(z)$  is an integrator and  $B(z) = 1$  is unity we get

$$\text{NTF}(z) = 1 - z^{-1}, \quad \text{STF}(z) = z^{-1}$$

## Order of the filters and oversampling determines the SQNR

$$\text{SQNR} = 6.02 \cdot N + 1.76 + 10 \cdot (2 \cdot L + 1) \cdot \log_{10} \text{OSR} - 10 \cdot \log_{10} \frac{\pi^{2L}}{2L + 1}$$

# Sigma-delta converters, cont'd

## First-order modulator and target 16 bits:

- 12 bits and oversample 16 times.
- 1 bit and oversample 1522 times (c.f. 1 G-times)

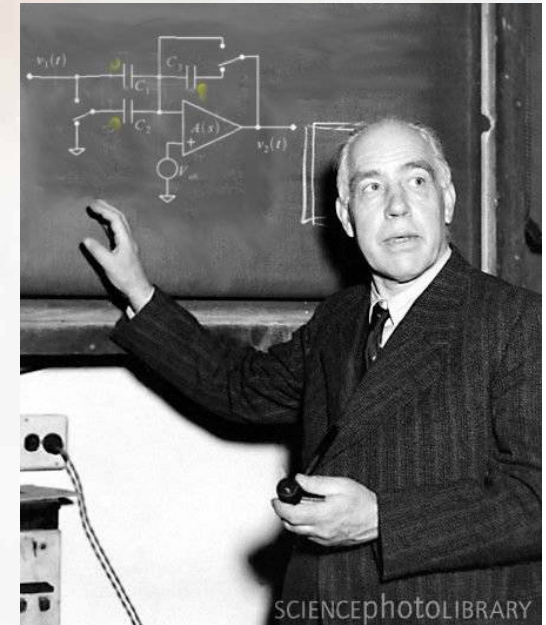
## Second-order modulator and target 16 bits:

- 12-bits and oversample 6 times.
- 1-bits and oversample 116 times.

## Third-order modulator and target 16 bits:

- 12 bits and oversample 5 times.
- 1 bits and oversample 40 times.

If too "aggressive", some of the momentum might be lost and filtering problem recreated.



# Sigma-delta, audio example

## Example:

16 bits (~100 dB)

22 kHz signal bandwidth

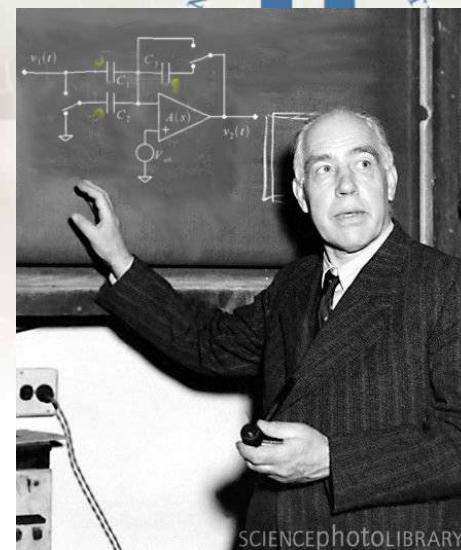
## Target

As few bits ( $M$ ) in the coarse quantizer as possible

Choose minimum possible modulator order ( $L$ )

Choose minimum possible sample frequency ( $f_s$ ) that maintains a simple analog anti-aliasing/reconstruction filter.

What configurations are possible?



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# Sigma-delta, audio example, cont'd

```
>> antikAudioSigmaDelta
```

```
SNR = 100.2442 dB, L = 2, M = 1, OSR = 128 fs = 5.632 MHz.
```

```
SNR = 115.2957 dB, L = 2, M = 1, OSR = 256 fs = 11.264 MHz.
```

```
SNR = 106.2642 dB, L = 2, M = 2, OSR = 128 fs = 5.632 MHz.
```

```
SNR = 112.2842 dB, L = 2, M = 3, OSR = 128 fs = 5.632 MHz.
```

```
SNR = 103.2527 dB, L = 2, M = 4, OSR = 64 fs = 2.816 MHz.
```

```
SNR = 112.8346 dB, L = 3, M = 1, OSR = 64 fs = 2.816 MHz.
```

```
SNR = 103.8025 dB, L = 3, M = 3, OSR = 32 fs = 1.408 MHz.
```

```
SNR = 109.8225 dB, L = 3, M = 4, OSR = 32 fs = 1.408 MHz.
```

# What did we do today?

## Data converters

Fundamentals

## DACs and ADCs

Some outline of the architecture and properties

## Oversampling converters

Basics and the trade-off between different parameters



# What will we do the next time(s)?

## DAC

Design example: the current-steering DAC

## ADC

The comparator and its properties

Design example: the pipelined ADC

## More circuit-level related stuff

Mainly switched-capacitor circuits